

2017 PREA ALLEGATION SUMMARY REPORT & ASSESSMENT

Submitted by: Ramona Swayne, Managing Director, PREA Coordinator

This report is submitted in accordance with PREA §115.288 (a)(3), and may be viewed on the agency’s website, in accordance with PREA §115.288(c). Information contained in this report covers client sexual-related allegations received from January 1, 2017, through December 31, 2017. Earlier information may be found in the agency’s 2016 PREA Report.

CENSUS SUMMARY

Number of persons under supervision of the facility on December 31, 2017:	Number of persons admitted during 2017 (from January 1 to December 31, 2017):
Facility Name, year-end headcount	Calendar year admissions total
Alum Creek North-33 Alum Creek South-40	Alum Creek North-211 Alum Creek South -230
OhioLink – Lima-57 ACRP – Long St.-99	Ohio Link Lima-176 ACRP Long St. -310
Price Hall-23 Dunning Hall-29 Breslin Hall - 18	Price Hall -121 Dunning Hall -178 Breslin Hall - 64
Work Release-73	Work Release(Jackson Pike) -489
OhioLink – Toledo-48	Males: 165 Females: 136
COPE-0 TCRC-147	Males: 30 Females: 5 Males: 356 Females: 156

ALLEGATIONS SUMMARY

<p>NUMBER OF REPORTED allegations of client-on-client SEXUAL HARASSMENT:</p> <ul style="list-style-type: none"> • Substantiated • Unsubstantiated • Unfounded • Investigation ongoing 	<p>TOTAL: 3</p> <p>0</p> <p>3</p> <p>0</p> <p>0</p>
<p>NUMBER OF REPORTED allegations of client-on-client SEXUAL ABUSE:</p> <ul style="list-style-type: none"> • Substantiated • Unsubstantiated • Unfounded • Investigation ongoing 	<p>TOTAL: 4</p> <p>0</p> <p>3 (2 during incarceration)</p> <p>1</p> <p>0</p>
<p>NOTICE OF REPORTED allegations of client-on-client RETALIATION:</p> <ul style="list-style-type: none"> • Substantiated • Unsubstantiated • Unfounded • Investigation ongoing 	<p>TOTAL: 0</p>
<p>NUMBER OF REPORTED allegations of staff-on-client SEXUAL HARASSMENT:</p> <ul style="list-style-type: none"> • Substantiated • Unsubstantiated • Unfounded • Investigation ongoing 	<p>TOTAL: 5</p> <p>0</p> <p>3</p> <p>2</p> <p>0</p>
<p>NUMBER OF REPORTED allegations of staff-on-client SEXUAL ABUSE:</p> <ul style="list-style-type: none"> • Substantiated • Unsubstantiated • Unfounded • Investigation ongoing 	<p>TOTAL: 2</p> <p>0</p> <p>0</p> <p>2</p> <p>0</p>
<p>NUMBER OF REPORTED allegations of staff-on-client RETALIATION:</p> <ul style="list-style-type: none"> • Substantiated • Unsubstantiated • Unfounded 	<p>TOTAL: 1</p> <p>1</p> <p>0</p> <p>0</p>

<ul style="list-style-type: none"> Investigation ongoing 	0
NUMBER OF ALLEGATIONS IN 2017: <ul style="list-style-type: none"> Substantiated Unsubstantiated Unfounded Investigation ongoing 	TOTAL: 15 1 9 5 0

ALLEGATIONS BREAKDOWN, BY FACILITY

TCRC – 5

- Inmate-on-inmate Sexual Assault while incarcerated: Reported to institution Warden; AV declined to identify AA, for fear of retaliation; AV stated assault occurred just prior to release.
- Client-on-client Sexual Abuse: **Unfounded**; AV, named witness statements, facility video footage do not corroborate allegation.
- Inmate-on-inmate Sexual Assault while incarcerated: **Unsubstantiated**; report submitted to named institution Warden.
- Client-on-client Sexual Assault: **Unsubstantiated**; AV was sexually assaulted, but could not identify the named AA's, who were arrested by State Highway Patrol; facility video footage does not indicate named AA's were in the identified area where alleged sexual assault occurred.
- Staff-on client Sexual Harassment: **Unsubstantiated**; AV female client allegation did not match facility video footage indicating male staff acting inappropriately; AH male staff disciplined for non-PREA violation(s)

ALUM CREEK SOUTH – 2

- Staff-on-client Sexual Abuse: **Unfounded**; No staff sexual misconduct identified during pat-down search; training opportunity noted for new staff related to pat-down searches

- Staff-on-client Sexual Abuse: **Unfounded**: video footage does not coincide with the resident's allegation. The staff appeared to conduct pat-down search appropriately.

OHIOLINK-TOLEDO – 0

COPE – 0

ALUM CREEK NORTH – 0

OHIOLINK-LIMA – 1

- Staff-on-client Sexual Harassment: **Unfounded**; Anonymous call to PREA Hotline alleging male staff looking at clients while showering; just cause established - clients smoking in restroom.

WORK RELEASE – 7

- Staff-on client Sexual Abuse: **Unsubstantiated**; AV denied allegation; AH (female staff) resigned; **Note**: allegation reported by alleged client witness.
- Staff-on client Retaliation: **Substantiated**; Staff terminated for sharing client PREA confidential information.
- Client-on-client Sexual Harassment: **Unfounded**; reasonable suspicion of smoking in restroom established; client admitted to smoking; client received violation.
- Staff-on-client Sexual Harassment: **Unsubstantiated**; AV male client denied allegation, went AWOL; AA (female staff) transferred to female facility.
- Client-on-client Sexual Harassment: **Unsubstantiated**; AH denied allegation; one client witness heard yelling in the shower stall down from him; AV went AWOL shortly after alleged incident
- Client-on client Sexual Harassment: **Unsubstantiated**; video footage shows AH entering the area described, and closing the door with AV alone in the area; AH was in the area less than one minute, which did not match time reported by AV; AV appeared to comment to a third resident in the hallway; AV transferred to another facility, as requested.
- Client-on-client Sexual Harassment: **Unsubstantiated**; no witnesses, AH denied allegation (note: same named AH as previous, similar allegations over a three-month period; AH transferred to another facility for his own safety.

DUNNING HALL – 0

BRESLIN HALL – 0

PRICE HALL – 0

IDENTIFIED FACILITY VULNERABILITIES:

- OL-Toledo: no line of sight in facility manager, and director offices at time of facility site visit.

CORRECTIVE ACTION:

- OL-Toledo: Windows have been installed in manager, director offices.

2017 ASSESSMENT of IMPROVEMENT

In 2014, Alvis House underwent its first PREA audit. Three facilities were audited, as per §115.401(b): Alum Creek, Work Release, and OhioLink – Lima. Preliminary reports identified no deficiencies, but included recommendations to:

- Increase client safety and security through additional camera surveillance in client hallways;
- Add PREA-specific language in policies, procedures to ensure compliance with identified standards, where noted;
- Ensure staff are trained on cross-gender pat-down searches, which meet §115.215(f);
- Install windows in laundry room doors at Alum Creek to allow for viewing during house checks.

In 2015, three facilities were audited: Price Hall, Dunning Hall, and COPE. As a result of the COPE audit, the following enhancements, recommendations have been made, or are in process:

- Ensure multiple reviews of any potential physical vulnerability in the facility;
- Move camera in laundry area to avoid direct view of client restroom/shower;
- Ensure staff presence when outside vendors are working in the facility;
- Report allegations of previous sexual abuse to head of the institution or facility where such occurred;
- Request to partnering medical providers to ensure forensic exams are provided at no cost to a victim of sexual abuse.

As a result of the Price Hall audit, the following enhancements, recommendations have been made:

- Laundry cabinets were moved, and shower curtains raised to knee level to eliminate blind spots during routine house/room checks;

As a result of the Dunning Hall audit, the following enhancements, recommendations have been made:

- Install a bubble mirror in the laundry room entryway;
- Add scan tag to side gate for perimeter checks;
- Add security camera to 3rd floor hallway.

In 2016, three facilities were audited: OhioLink-Toledo, TCRC, and YMCA. All three facilities successfully passed their PREA audit, without requiring any corrective action plan(s). As a result of the COPE audit, the following enhancements, recommendations have been made, or are in process:

- It was determined that transgender, or intersex referrals will not be admitted at TCRC, as no unique physical design exists, which may accommodate special needs for privacy.
- Additional signage was placed at TCRC in restricted areas, and where client access is prohibited.

In 2017, our facilities were audited: Breslin Hall (1st PREA audit), Alum Creek, Jackson Pike, OhioLink-Lima. All four facilities successfully passed their PREA audit, without requiring any corrective action plan(s). The following efforts have been implemented in 2017 to further the mission to ensure client sexual safety in Alvis facilities:

- In May 2017, the Alvis Board of Trustees approved the implementation of a new policy, which focuses on how to appropriately engage with, and serve transgender, and intersex residents.
- Gender-specific training is mandatory for all staff, to ensure staff understand the dynamics of sexual abuse in male versus female resident populations.
- Ongoing resident education, and staff training for the understanding, and enforcement of PREA standards.
- Grant funding approved through the Ohio Department of Rehabilitation and Correction (ODRC) for staff training in 2018 in the areas: PREA Investigations, and Dynamics of serving LGBTI residents.

Alvis continues to be proactive with respect to supervision and monitoring of facilities to prevent, detect, and report client sexual harassment, and sexual abuse. Clients continue to report allegations of sexual abuse while incarcerated. Alvis has imposed disciplinary action, up to, and including termination, for staff sexual misconduct with clients.

Alvis is actively taking steps to ensure clients are free from sexual harassment, sexual abuse, sexual assault, and retaliation. Employee training and resident education is conducted on an ongoing bases, to demonstrate the agency's care and concern for the safety and wellbeing of our clients.